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Total Number of Pages in This Submission

12

Application Number

10/820,876

Filing Date

April 9, 2004

First Named Inventor

Daniel BREEN et al.

Art Unit

3692

Examiner Name

Narayanswamy SUBRAMANIAN

Attorney Docket Number

SSB0005

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Reply

☒ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/
Incomplete Application

☐ Reply to Missing Parts
under 37 CFR1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

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☐ After Allowance Communication to TC

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s)
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

Kilpatrick Stockton LLP

Signature

George T. Marcou Reg. No. 48,455

Printed Name

George T. Marcou

Date

4/2/07

Reg. No.

33,014

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SSB0005



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the U.S. Application of

Daniel BREEN *et al.*

Group Art Unit: **3692**

U.S. Serial No.: **10/820,876**

Examiner: **SUBRAMANIAN, Narayanswamy**

Filed: **April 9, 2004**

For: **SYSTEM AND METHOD FOR INTEGRATING A CONVERTIBLE
SECURITY WITH A CALL SPREAD**

U.S. Patent and Trademark Office
Customer Window, Mail Stop AF
Randolph Building
Alexandria, VA 22314

RESPONSE TO FINAL OFFICE ACTION

Sir:

In response to the final Office Action mailed February 22, 2007 ("the Office Action"), the undersigned representative respectfully requests reconsideration of the application in light of the following amendments and remarks.

Amendments to the Claims are reflected in the Listing of Claims on page 2 of this paper.

Remarks begin on page 8 of this paper.